

## SELF-DUALITY AND RING EXTENSIONS

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### Introduction

The purpose of this paper is to study the relation between ring extensions and self-duality. The theory of duality is established by K. Morita [2]. However, the problem concerning the existence of self-duality for a given ring is difficult and there seems to be only a few papers dealing with this problem. Indeed, the Artinian rings with self-duality have not yet been characterized. Recently K.R. Fuller and J.K. Haack [1] have proved that a finite 'zR semigroup ring' over a ring with self-duality has itself a self-duality.

In this paper, we shall generalize their result by establishing the following theorem.

**Theorem.** *Let  $A \supseteq B$  be a ring extension. If  $A$  has a finite free basis over  $B$  each member of which centralizes all the elements of  $B$ ,  $B$  has a self-duality induced by  ${}_B V_B$ , and if the structure constants of  $A$  with respect to the above basis commute with each element of  $V$ , then  $A$  has a self-duality induced by  ${}_A \text{Hom}_B({}_B A_A, {}_B V)$ .*

It should be noted that any finite-dimensional algebra over a commutative field satisfies our condition, while it is not always a finite zR semigroup ring in general.

### 1.

Throughout this paper,  $A \supseteq B$  is a ring extension satisfying the following conditions;

- (1)  $A$  is a free left  $B$ -module of rank  $n$ .
- (2)  $A$  has a free basis  $x_1, \dots, x_n \in A$  such that each  $x_i$  centralizes the elements of  $B$ , i.e.,

$$bx_i = x_i b \quad \text{for all } b \in B.$$

Under these assumptions, it is easy to check that  $A$  is a free right  $B$ -module of rank  $n$ .  $Z(B)$  denotes the center of  $B$ , and put

$$x_i x_j = \sum_{p=1}^n \beta_{ij}^p x_p, \quad \beta_{ij}^p \in B,$$

$$1_A = \sum_{i=1}^n \alpha_i x_i, \quad \alpha_i \in B.$$

**Lemma 1.**

- (i)  $\beta_{ij}^p, \alpha_i \in Z(B)$  for all  $i, j$  and  $p$ .
- (ii)  $\sum_p \beta_{ij}^p \beta_{pk}^m = \sum_p \beta_{jk}^p \beta_{ip}^m$  for all  $i, j, k$  and  $m$ .
- (iii)  $\sum_p \alpha_p \beta_{pj}^i = \delta_{ij} = \sum_p \alpha_p \beta_{jp}^i$  for all  $i$  and  $j$ .

**Proof.** (i) Since

$$\begin{aligned} \sum_p \beta_{ij}^p b x_p &= \left( \sum_p \beta_{ij}^p x_p \right) b = (x_i x_j) b = b(x_i x_j) \\ &= b \left( \sum_p \beta_{ij}^p x_p \right) = \sum_p b \beta_{ij}^p x_p \quad \text{for all } b \in B, \end{aligned}$$

and  $\{x_1, \dots, x_n\}$  is a free basis over  $B$ ,

$$\beta_{ij}^p b = b \beta_{ij}^p \quad \text{for all } b \in B \text{ and } i, j \text{ and } p.$$

Next, since

$$\begin{aligned} \sum_i \alpha_i b x_i &= \left( \sum_i \alpha_i x_i \right) b = 1 \cdot b = b \cdot 1 \\ &= b \left( \sum_i \alpha_i x_i \right) = \sum_i b \alpha_i x_i \quad \text{for all } b \in B, \end{aligned}$$

we have

$$\alpha_i b = b \alpha_i \quad \text{for all } b \in B \text{ and } i.$$

(ii) This formula is well known.

(iii) Since

$$\begin{aligned} \sum_i \left( \sum_p \alpha_p \beta_{pj}^i \right) x_i &= \sum_p \alpha_p \left( \sum_i \beta_{pj}^i x_i \right) = \sum_p \alpha_p x_p x_j \\ &= \left( \sum_p \alpha_p x_p \right) x_j = x_j = \sum_i \delta_{ij} x_i \quad \text{for all } j, \end{aligned}$$

we have

$$\sum_p \alpha_p \beta_{pj}^i = \delta_{ij} \quad \text{for all } i \text{ and } j. \quad \square$$

From now on, assume that  $B$  has a self-duality induced by  ${}_B V_B$ . Then  ${}_B V$  and  $V_B$  are the linearly compact injective cogenerators and

$$\text{End}({}_B V) \cong B, \quad \text{End}(V_B) \cong B. \quad (3)$$

Put

$${}_B W_B = \bigoplus_B^n V_B.$$

We will think of each element of  $W$  as a row vector and denote  $[v_s]$ . For each  $\sum_i b_i x_i \in A$  and  $[v_s] \in W$ , we define

$$\left( \sum_i b_i x_i \right) * [v_s] = \left[ \sum_p \sum_i b_i \beta_{si}^p v_p \right]. \quad (4)$$

**Lemma 2.** *With the multiplication  $*$ ,  $W$  is a left  $A$ -right  $B$ -bimodule. Moreover, left  $B$ -module structure of  $\bigoplus_B V$  coincides with the multiplication  $*$ , i.e.,*

$$\left( \sum_i b \alpha_i x_i \right) * [v_s] = [b v_s] \quad \text{for all } b \in B \text{ and } [v_s] \in W.$$

**Proof.** We shall only prove

$$a * (a' * [v_s]) = (aa') * [v_s] \quad (5)$$

for all  $a, a' \in A$  and  $[v_s] \in W$ . Put  $a = \sum_j b_j x_j$  and  $a' = \sum_k b'_k x_k$ . Then

$$\begin{aligned} a * (a' * [v_s]) &= \left( \sum_j b_j x_j \right) * \left( \left( \sum_k b'_k x_k \right) * [v_s] \right) \\ &= \left( \sum_j b_j x_j \right) * \left[ \sum_q \sum_k b'_k \beta_{sk}^q v_q \right] = \left[ \sum_p \sum_j \sum_q \sum_k b_j \beta_{sj}^p b'_k \beta_{pk}^q v_q \right]. \end{aligned}$$

On the other hand,

$$\begin{aligned} (aa') * [v_s] &= \left( \left( \sum_j b_j x_j \right) \left( \sum_k b'_k x_k \right) \right) * [v_s] \\ &= \left( \sum_p \sum_k \sum_j b_j b'_k \beta_{jk}^p x_p \right) * [v_s] = \left[ \sum_q \sum_p \sum_k \sum_j b_j b'_k \beta_{jk}^p \beta_{sp}^q v_q \right]. \end{aligned}$$

Then (5) follows from Lemma 1 (i) and (ii).  $\square$

Hereafter, we will denote the multiplication omitting  $*$ .

**Lemma 3.**

$$\Phi : {}_A \text{Hom}_B({}_B A_A, {}_B V_B) \ni \varphi \mapsto [(x_s)\varphi] \in {}_A W_B$$

is a bimodule isomorphism.

**Proof.** Since  ${}_B A_B \cong \bigoplus^n {}_B B_B$ , it is easy to show that  $\Phi$  is a  $B$ -bimodule isomorphism. Let  $\sum_k b_k x_k \in A$  and  $\varphi \in \text{Hom}_B({}_B A, {}_B V)$ . Then

$$\begin{aligned} \left( \sum_k b_k x_k \right) [(x_s)\varphi] &= \left[ \sum_p \sum_k b_k \beta_{sk}^p (x_p)\varphi \right] \\ &= \left[ \left( \sum_p \sum_k b_k \beta_{sk}^p x_p \right) \varphi \right] = \left[ \left( \sum_k b_k \sum_p \beta_{sk}^p x_p \right) \varphi \right] \\ &= \left[ \left( \sum_k b_k x_s x_k \right) \varphi \right] = \left[ (x_s) \left( \sum_k b_k x_k \varphi \right) \right]. \end{aligned}$$

Hence

$$\left( \sum_k b_k x_k \right) \Phi(\varphi) = \Phi \left( \sum_k b_k x_k \varphi \right).$$

Thus we have proved that  $\Phi$  is a  $A$ -homomorphism.  $\square$

**Corollary 4.**  ${}_A W$  is a linearly compact injective cogenerator and defines a duality between  $A$  and  $\text{End}({}_A W)$ .

**Proof.** This corollary is directly from Lemma 3 and P. Vámos [3, Theorem 2.2].  $\square$

Let  $b \in Z(B)$ . Since the map  ${}_B V \ni v \mapsto bv \in {}_B V$  is a  $B$ -endomorphism of  ${}_B V$ , there uniquely exists  $\pi(b) \in B$  such that

$$b'bv = b'v\pi(b) \quad \text{for all } v \in V \text{ and } b' \in B.$$

(Notice (3).) Thus we have

$$bv = v\pi(b) \quad \text{for all } v \in V.$$

Moreover,

$$\begin{aligned} v(\pi(b)b') &= (v\pi(b))b' = (bv)b' = b(vb') \\ &= (vb')\pi(b) = v(b'\pi(b)) \quad \text{for all } v \in V \text{ and } b' \in B. \end{aligned}$$

Since  $V_B$  is faithful, we have

$$\pi(b)b' = b'\pi(b) \quad \text{for all } b' \in B.$$

Therefore we have proved that  $\pi(b) \in Z(B)$ . Then

**Lemma 5.**  $\pi : Z(B) \rightarrow Z(B)$  is a ring automorphism.

2.

We now proceed to compute  $\text{End}({}_A W)$ . Since

$$\text{End}({}_B W) = \text{End}\left(\bigoplus_B^n V\right) = M_n(\text{End}({}_B V)) = M_n(B),$$

we have

$$B \subseteq \text{End}({}_A W) \subseteq \text{End}({}_B W) = M_n(B).$$

We will denote each element in  $M_n(B)$  of the form  $(b_{pq})$ .

**Lemma 6.**

$$\sigma : A \ni \sum_i b_i x_i \mapsto \left( \sum_i b_i \beta_{iq}^p \right) \in M_n(B)$$

is a one-to-one ring homomorphism.

**Proof.** It is easy to show that  $\sigma$  is an additive homomorphism. First, we shall prove that  $\sigma$  is one-to-one.

$$\begin{aligned} \sum_i b_i x_i \in \text{Ker } \sigma &\Rightarrow \sum_i b_i \beta_{iq}^p = 0 && \text{for all } p \text{ and } q, \\ &\Rightarrow \sum_p \sum_i b_i \beta_{iq}^p x_p = 0 && \text{for all } q, \\ &\Rightarrow \sum_i b_i x_i x_q = 0 && \text{for all } q, \\ &\Rightarrow \sum_q \sum_i b_i x_i x_q \alpha_q = 0, \\ &\Rightarrow \sum_i b_i x_i = 0. \end{aligned}$$

Hence  $\sigma$  is one-to-one. Next we shall prove that  $\sigma$  is a ring homomorphism. Let  $\sum_i b_i x_i, \sum_j b'_j x_j \in A$ . Then

$$\begin{aligned} \sigma\left(\sum_i b_i x_i\right) \sigma\left(\sum_j b'_j x_j\right) &= \left(\sum_i b_i \beta_{iq}^p\right) \left(\sum_j b'_j \beta_{jq}^p\right) \\ &= \left(\sum_i \sum_j b_i b'_j \sum_t \beta_{it}^p \beta_{jt}^p\right). \end{aligned}$$

On the other hand,

$$\begin{aligned} \sigma\left(\left(\sum_i b_i x_i\right) \left(\sum_j b'_j x_j\right)\right) &= \sigma\left(\sum_i \sum_j b_i b'_j \sum_t \beta_{it}^t x_t\right) \\ &= \left(\sum_i \sum_j b_i b'_j \sum_t \beta_{it}^t \beta_{jt}^t\right). \end{aligned}$$

Thus

$$\sigma\left(\sum_i b_i x_i\right) \sigma\left(\sum_j b'_j x_j\right) = \sigma\left(\left(\sum_i b_i x_i\right) \left(\sum_j b'_j x_j\right)\right)$$

by Lemma 1 (ii).  $\square$

**Corollary 7.**

$$\begin{array}{ccc}
 A & \xrightarrow{\sigma} & M_n(B) \\
 \uparrow & & \uparrow \\
 B & \hookrightarrow & \text{End}({}_A W)
 \end{array}$$

is a commutative diagram.  $\square$

Now we shall make the following assumption:

(C)  $\pi(\beta_{ij}^k) = \beta_{ij}^k$  for all  $i, j$  and  $k$ .

(C) is equivalent to

$$\beta_{ij}^k v = v \beta_{ij}^k \text{ for all } v \in V \text{ and } i, j \text{ and } k.$$

**Lemma 8.** Under the assumption (C), the followings are concluded.

(i) Let  $(b_{pq}) \in M_n(B)$ . Then  $(b_{pq}) \in \text{End}({}_A W)$  if and only if

$$\sum_q \beta_{si}^q b_{tq} = \sum_q \beta_{qi}^t b_{qs} \text{ for all } i, t \text{ and } s.$$

(ii)  $\text{End}({}_A W) = \sum_{k=1}^n B(\beta_{kq}^p) = \sigma(A).$

**Proof.** (i) Let  $[v_s] \in W$ . Then

$$\begin{aligned}
 x_i([v_s](b_{pq})) &= x_i \left[ \sum_t v_t b_{ts} \right] = \left[ \sum_t \sum_q \beta_{si}^q v_t b_{tq} \right] \\
 &= \left[ \sum_t \sum_q v_t \beta_{si}^q b_{tq} \right] \text{ for all } i.
 \end{aligned}$$

On the other hand,

$$\begin{aligned}
 (x_i[v_s])(b_{pq}) &= \left[ \sum_t \beta_{si}^t v_t \right] (b_{pq}) = \left[ \sum_t \sum_q \beta_{qi}^t v_t b_{qs} \right] \\
 &= \left[ \sum_t \sum_q v_t \beta_{qi}^t b_{qs} \right] \text{ for all } i.
 \end{aligned}$$

Thus we have

$$(b_{pq}) \in \text{End}({}_A W) \Leftrightarrow \sum_t \sum_q v_t \beta_{si}^q b_{tq} = \sum_t \sum_q v_t \beta_{qi}^t b_{qs} \text{ for all } [v_s] \in W \text{ and } i, s. \quad (6)$$

Suppose  $(b_{pq}) \in \text{End}({}_A W)$ . Let  $v \in V$  and fix  $t$ . Put  $[v_s] = [\delta_{ps} v]$ . Then by (6),

$$v \sum_q \beta_{si}^q b_{tq} = v \sum_q \beta_{qi}^t b_{qs} \text{ for all } v \in V.$$

Therefore

$$\sum_q \beta_{si}^q b_{tq} = \sum_q \beta_{qi}^t b_{qs}.$$

Conversely, if  $\sum_q \beta_{si}^q b_{tq} = \sum_q \beta_{qi}^t b_{qs}$  for all  $i$  and  $t$ , then it is easy to see that  $(b_{pq}) \in \text{End}({}_A W)$  from (6).

(ii) Let  $(b_{pq}) \in \text{End}({}_A W)$ . Then, by (i),

$$\begin{aligned} b_{pi} &= \sum_q \delta_{qi} b_{pq} = \sum_q \sum_s \alpha_s \beta_{si}^q b_{pq} = \sum_s \alpha_s \sum_q \beta_{si}^q b_{pq} \\ &= \sum_s \alpha_s \sum_q \beta_{qi}^p b_{qs} = \sum_q \left( \sum_s \alpha_s b_{qs} \right) \beta_{qi}^p \quad \text{for all } p \text{ and } i. \end{aligned}$$

Put  $c_q = \sum_s \alpha_s b_{qs}$ . Then we have

$$b_{pq} = \sum_k c_k \beta_{kq}^p \quad \text{for all } p \text{ and } q.$$

Thus

$$(b_{pq}) = \sum_k c_k (\beta_{kq}^p) \in \sum_k B(\beta_{kq}^p).$$

On the other hand, it is easy to check that  $\sum_k B(\beta_{kq}^p) \subseteq \text{End}({}_A W)$ . Thus we have proved (ii).  $\square$

Now we get the following theorem.

**Theorem 9.** *Under the assumption (C),  $A$  has a self-duality induced by  $W$ .*

**Proof.** By Corollary 4,  $A$  has a duality induced by  ${}_A W$ , and  $\text{End}({}_A W) = \sigma(A) \cong A$  from Lemma 8. Thus  ${}_A W$  induces a self-duality of  $A$ .  $\square$

## References

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